Public Health Preparedness for Health Centers: Navigating the Preparedness Landscape

Thursday, March 8, 2018 11:00 AM - 12:00 PM CT





Disclaimer

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Presenters

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Director, Training and Technical Assistance National Nurse-Led Care Consortium







National Nurse-Led Care Consortium

- Mission: Advance nurse-led health care through policy, consultation, and programs to reduce health disparities and meet people's primary care and wellness needs.
- Supported via a National Cooperative Agreement (NCA) with HRSA to provide training and technical assistance to health centers in order to strengthen healthcare for residents of public housing.
- Subsidiary of Public Health Management Corporation (PHMC)
- Funded by a CDC grant to PHMC/NNCC to identify how the assets of health centers can be leveraged during response to a pandemic or other public health emergency



Why assess public health emergency preparedness at health centers?

- Health centers as primary care providers and trusted members of their communities – must be prepared to respond to emergencies, and will be relied upon for medical care and other support services.
- Health centers have the opportunity to identify and decrease the impact of disease outbreaks (ex. influenza) with screening and treatment protocols.



Partners

- Centers for Disease Control & Prevention (CDC)
- Health Resources & Services Administration (HRSA)
- Research & Evaluation Group (R&E) at Public Health Management Corporation (PHMC)
 - Gabrielle Grode, Evaluation Specialist
- National Nurse-Led Care Consortium (NNCC)
 - Kristine Gonnella, Director, Training and Technical Assistance
- Primary Care Association (PCA) Emergency Management Advisory Coalition (EMAC)
 - Alex Lipovtsev & Tina Wright, Co-Chairs
- National Health Care for the Homeless Council (NHCHC)
- National Association of Community Health Centers (NACHC)



Activities

- 9 key informant interviews with health center leaders (Fall 2016)
- Poll of health centers to assess preparedness efforts and training needs (June-July 2017; 391 respondents)
- Report on findings of interviews & poll (Spring 2018)
- Case studies with health centers (Spring 2018)
- Webinar series (March 2018)
- HRSA NCA Learning Collaborative (Spring 2018)



Today's Objectives

- Highlight key findings from public health preparedness assessment of health centers
- Summarize CMS Emergency Preparedness Rule requirements for health centers
- Identify currently available resources for health centers to bolster preparedness efforts



POLL #1

What is your role at the Health Center?

- Administrator
- Clinician
- Consumer
- Case Manager/Coordinated Care Professional
- Other
- Not a part of a Health Center





Key Findings: Public Health PreparednessAssessment of Health Centers

Gabrielle Grode, MPH

Evaluation Specialist
Research & Evaluation Group
at Public Health Management Corporation



Purpose of Assessment

- Assess preparedness capacity and needs of health centers related to outbreaks/pandemics
 - Plans
 - Infrastructure + supplies
 - Exercises
 - Relationships + communication
 - Barriers
 - Training needs

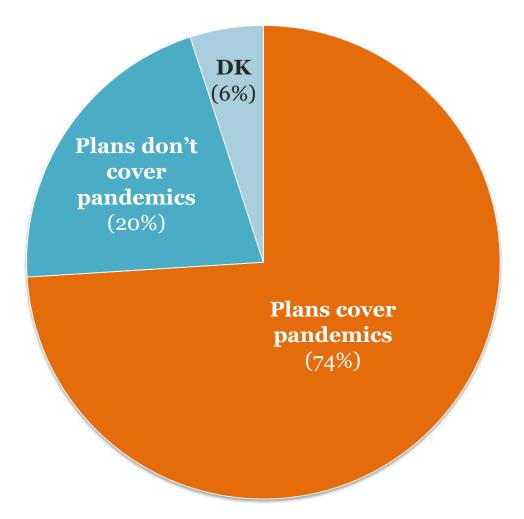


Methods

- Key Informant Interviews
 - 9 health centers
- Poll via SurveyGizmo
 - 1,376 health centers, **391 participants** (29% response rate)
 - June-August 2017
 - Reflective of health centers overall:
 - Healthcare for homeless = 22%
 - Public housing primary care = 8%
 - Migrant health center = 13%
 - Community health center = 94%

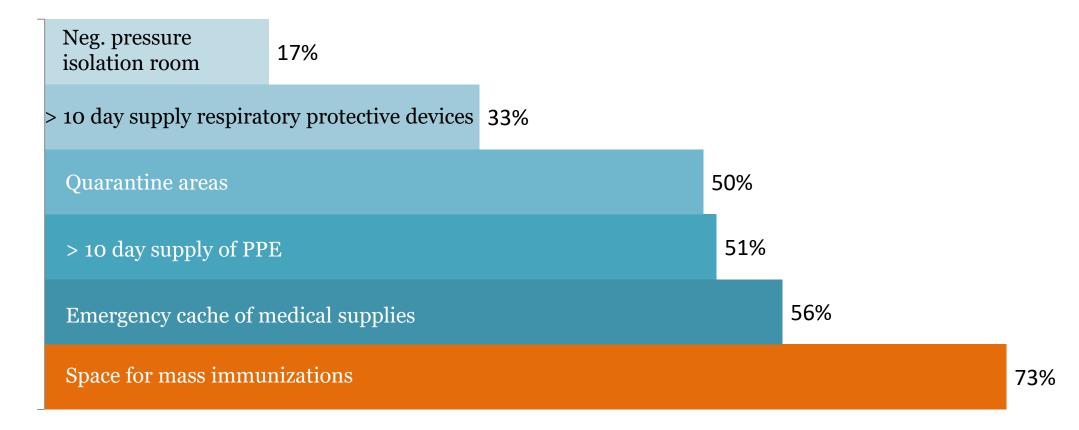


Most health centers' written emergency management plans cover pandemics/outbreaks





73% of health centers have space for mass immunizations





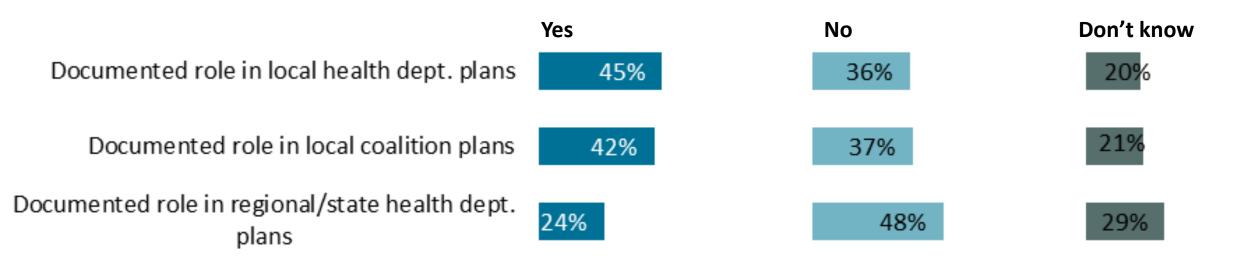
Preparedness Exercises

- 50% of centers have conducted or participated in preparedness exercises
- 24% report that the exercises cover pandemics
- 72% say that in-house staff creates materials for exercises

Source: PHMC, Public Health Preparedness Poll, 2017.



42-45% of health centers have a documented role in local health department / coalition plans

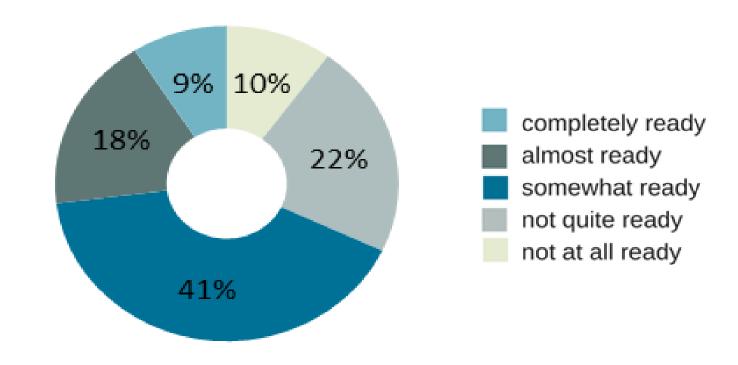




"Dissemination comes to the hospitals first. Community centers are on the bottom tier. Where do we actually fit? We don't know. That's our biggest weakness."

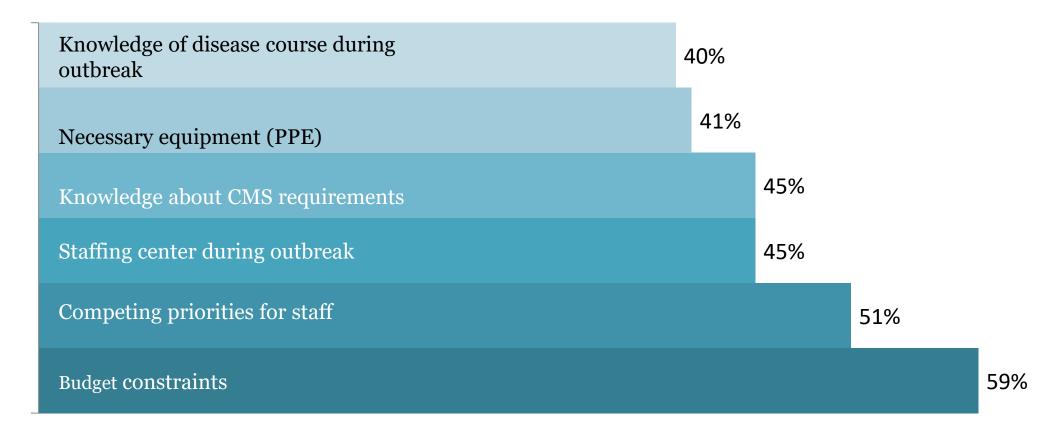


9% of health centers said they are completely ready to respond to a pandemic/outbreak



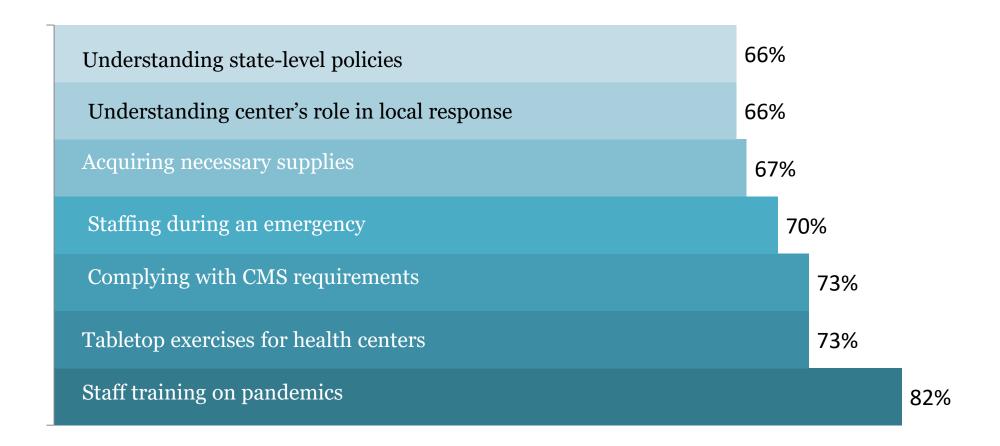


Top Barriers to Pandemic Preparedness





Greatest Preparedness Training and TA Needs





POLL #2

Does your health center have a designated lead emergency preparedness staffer? (yes/no) If yes, are you that emergency preparedness staffer?





Summary on CMS Rule for Minimum Emergency Preparedness Requirements

by Tina T. Wright, Director of Emergency Management Chair, PCA Emergency Management Advisory Coalition



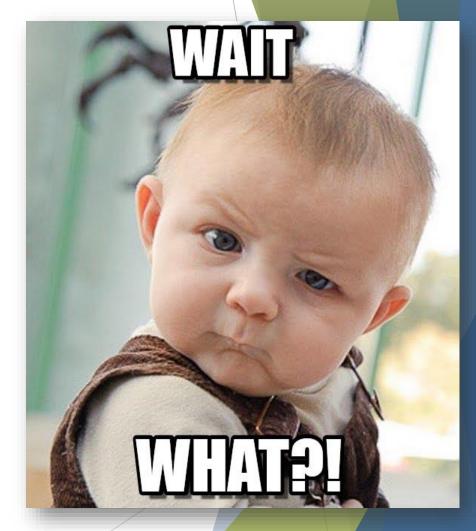
Are CHCs "required" to be prepared for emergencies and disasters?

Various policy directives appear to support emergency preparedness work:

- ... encouraged to...
- ▶ ... should integrate...
- ... should collaborate...
- ... may want to...

BUT...

➤ No written requirement by HRSA



Or is it?

Health Center Site Visit Guide, Program Requirement #11 (Collaborative Relationships), Performance Improvement:

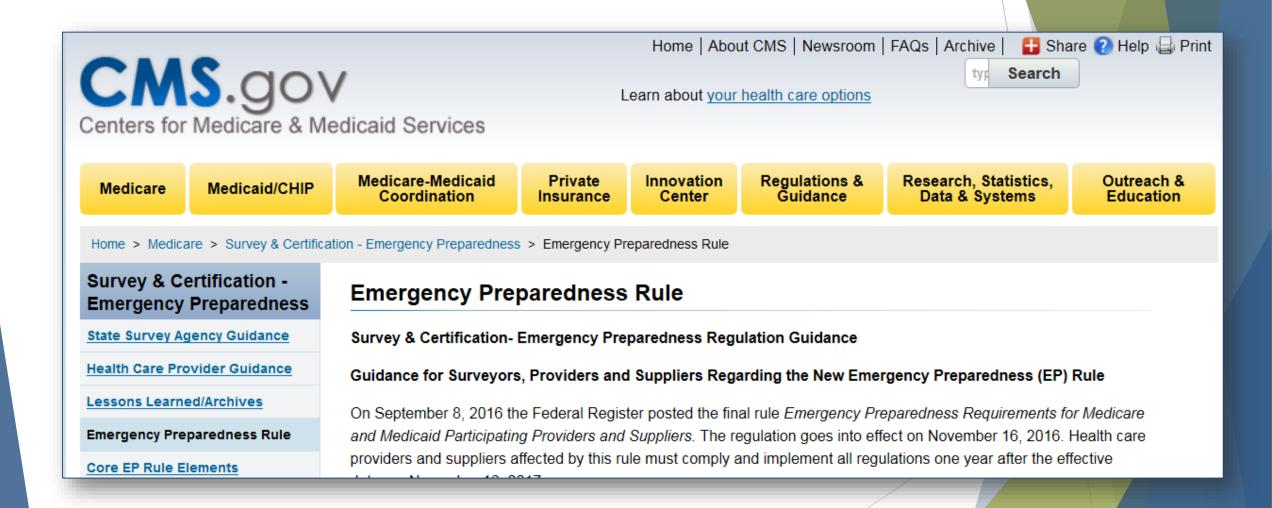
▶ Does the grantee have any collaborative relationships that support its emergency preparedness and management plan/activities?

FY 2014 Service Area Competition (SAC) Application

- Program Narrative: "[D]escribe the status of emergency preparedness planning and development of emergency managed plan(s), including efforts to participate in state and local emergency planning."
- ► Form 10, Annual Emergency Preparedness Report
 - Is your EPM plan integrated into your local/regional emergency plan?
 - If No, has your organization attempted to participate in local/regional emergency planners?
 - Will your organization be required to deploy staff to Non-Health Center sites/locations according to the emergency preparedness plan for the local community?
 - Does your organization coordinate with other systems of care to provide an integrated emergency response?



Centers for Medicaid & Medicare Services



Why this Emergency Preparedness rule?



"Conditions of Participation (CoPs) and Conditions for Coverage (CfCs) are health and safety regulations which must be met by Medicare and Medicaid-participating providers and suppliers. They serve to protect all individuals receiving services from those organizations"

- Creates commonalities between and amongst healthcare facilities
- Aligns well with requirements by the Joint Commission, especially for hospitals
- ► Language is heavy with "Coalition" integration

CMS rule for minimum EP requirements

- ► REGULATORY REQUIREMENT as a Conditions of Participation (CoP)
- ► Includes 17 provider and supplier types
- ► Must be "in compliance" to participate in Medicare and Medicaid
- ► Four core components:
 - 1. Emergency plan
 - 2. Policies and procedures
 - 3. Communications plan
 - 4. Training and testing program (including 2 annual exercises)
- ► All-hazards Risk Assessment tied to each core component

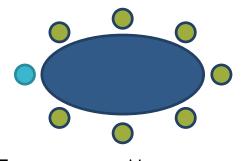
CMS rule, cont. 17 Providers and Suppliers:

- Hospitals
- ► Critical Access Hospitals ► Intermediate Care
- Long-Term Care Facilities, Skilled Nursing Facilities, and Nursing Facilities
- Religious Nonmedical Health Care Institutions
- Ambulatory Surgical Centers
- Hospices
- Psychiatric Residential Treatment Facilities
- Programs of All-Inclusive Care for the Elderly

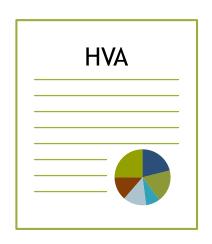
- ► Transplant Centers
- Intermediate Care
 Facilities for Individuals
 with Intellectual
 Disabilities
- ► Home Health Agencies
- ComprehensiveOutpatientRehabilitation Facilities
- Clinics, Rehabilitation
 Agencies, and Public
 Health Agencies as
 Providers of Outpatient
 Physical Therapy and
 Speech-Language
 Pathology Services

- Community Mental Health Centers
- Organ Procurement Organizations
- Rural Health Clinics and Federally Qualified Health Centers
- ► End-Stage Renal Disease Facilities

Emergency Management Program



Emergency Management Committee





Source: DelValle Institute for Emergency Preparedness - EOP Awareness course

STEP 1: ALL HAZARDS RISK ASSESSMENT / HAZARD VULNERABILITY ANALYSIS

CMS rule, step 1: HVA...

Risk Assessment

- ► Must be "all-hazards" risk assessment
- Must consider your patient populations
 - ► Homeless, migrant agricultural worker, public housing, veterans, behavioral health patients, etc.
- 2-fold assessment facility and community based
- Annual review and maintenance

The state of the s				î e				
EVENT	PROBABILITY			BUSINESS	PREPARED- NESS	INTERNAL RESPONSE	EXTERNAL RESPONSE	RISK
	Likelihood this will occur	Possibility of death or injury	Physical losses and damages	Interuption of services	Preplanning	Time, effectivness, resouces	Community/ Mutual Aid staff and supplies	Relative threat*
SCORE	0 = N/A 1 = Low 2 = Moderate 3 = High	0 = N/A 1 = Low 2 = Moderate 3 = High	0 = N/A 1 = Low 2 = Moderate 3 = High	0 = N/A 1 = Low 2 = Moderate 3 = High	0 = N/A 1 = High 2 = Moderate 3 = Low or none	0 = N/A 1 = High 2 = Moderate 3 = Low or none	0 = N/A 1 = High 2 = Moderate 3 = Low or none	0 - 100%
Mass Casualty Hazmat Incident (From historic events at your MC with >= 5 victims)								0%
Small Casualty Hazmat Incident (From historic events at your MC with < 5 victims)								0%
Chemical Exposure, External								0%
Small-Medium Sized Internal Spill								0%
Large Internal Spill								0%
Terrorism, Chemical								0%
Radiologic Exposure, nternal								0%
Radiologic Exposure, External								0%
Terrorism, Radiologic								0%
AVERAGE	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0%

^{*}Threat increases with percentage.

RISK = PROBABILITY * SEVERITY

Step 1:

Identify hazards and probability

Step 2:

Determine potential impacts

Step 3:

Assess vulnerability

Step 4:

Calculate risk









EVENT								
	PROBABILITY		IMPACT		V			
		HUMAN IMPACT	PROPERTY IMPACT	BUSINESS IMPACT	PREPARED- NESS	INTERNAL RESPONSE	EXTERNAL RESPONSE	RISK
	Likelihood this will occur	Possibility of death or injury	Physical losses and damages	Interruption of services	Preplanning	Time, effectiveness, resources	Community/ Mutual Aid staff and supplies	Relative threat*
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-	3 = High	3 = High

*Threat increases with percentage

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der:	Children's Hospital Colorado		ВІГПА	HUMAN IMPACT PROPERTY IMPACT	BUSINESS IMPACT	MITIGATION		PREPAREDMESS		RESPONSE		RECOVERY		RISK Occurrence	RISK Response	Non Veighte d	
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_	National Planning Scenarios	Occurrence	Response														
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Bio	ological Attack - Food Contamination	3	3	1	2	3	1	2	2	1	3	2	1	2	60%	60%	120
Bio	ological Attack - Foreign Animal Disease	3	2	1	2	3	1	2	2	1	3	2	1	2	60%	402	100
Bio	ological Attack - Plague	3	2	1	2	3	1	2	2	1	3	2	1	2	60%	402	100
Bio	ological Disease Outbreak - Pandemic flu	1	2	1	2	3	1	2	2	1	3	2	1	2	20%	402	60
Ch	emical Attack - Blister Agent	1	2	1	2	3	1	2	2	1	3	2	1	2	20%	402	60
Ch	emical Attack - Chlorine Tank Explosion	1	2	1	2	3	1	2	2	1	3	2	1	2	20%	402	60
Ch	emical Attack - Nerve Agent	1	2	1	2	3	1	2	2	1	3	2	1	2	20%	402	60
Ch	emical Attack - Toxic Industrial Chemicals	1	2	1	2	3	1	2	2	1	3	2	1	2	20%	402	60
Cyl	ber Attack	1	2	1	2	3	1	2	2	1	3	2	1	2	202	402	60
Exp	olosives Attack - Improvised Explosive	1	2	1	2	3	1	2	2	1	3	2	1	2	20%	402	60
Na	tural Disaster – Major Earthquake	1	2	1	2	3	1	2	2	1	3	2	1	2	20%	402	60
Na	tural Disaster - Major Hurricane	1	2	1	2	3	1	2	2	1	3	2	1	2	20%	402	60
No	clear Detonation - Improvised Device	1	2	1	2	3	1	2	2	1	3	2	1	2	20%	402	60
Ra	diological Attack - Radiological Dispersal	1	2	1	2	3	1	2	2	1	3	2	1	2	20%	402	60
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															02	02	0
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	Naturally Occurring Events																
Av	alanche	3	3	1	2	3	2	2	3	1	1	3	2	1	63%	63%	126
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Dre	ought	2	2	1	2	3	2	2	3	1	1	3	2	1	422	422	84

STEP 2: EMERGENCY PREPAREDNESS PLANNING

CMS rule, step 2: EP Plans...

Emergency Preparedness Plan

- ► Must be based on the results of the Risk Assessment
- ► Address the needs of the your patient populations
- Address the types of services the CHC can provide in an emergency
- ▶ Is to include business continuity best practices, such as delegation of authority and succession plans

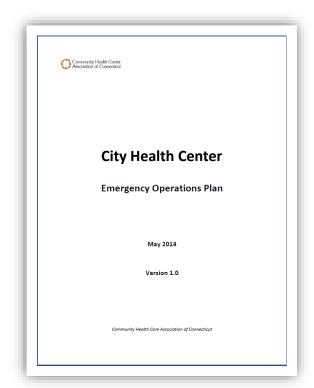




Emergency Operations Plan (EOP) vs. Incident Command System (ICS)

EOP

Plan for what to do



ICS

► Tools to make it happen



HICS Guidebook, Section 5.3: Emergency Operations Plan (EOP) Activation

Source: DelValle Institute for Emergency Preparedness - EOP Awareness course

STEP 3: POLICIES & PROCEDURES

CMS rule, step 3: P&Ps...

Policies & Procedures

- Based on the risk assessment, EP plan, and communications plan
- Are to include a system for tracking on-duty staff and sheltered patients during an emergency
- Medical documentation sharing if patients transfer to alternate facility, compliant with federal and state privacy laws
- ► Include policies for Volunteers



CMS rule, step 3: P&Ps...

- ► Establish Policies & Procedures
 - ► How will your health center execute your emergency plan?
 - ▶ What risks have been identified?
 - ► How do the policies and procedures address the risks that have been identified?
- ► Annual updates; rule states to get clinical input from MD, PA or NP
- Safe evacuation plan*
- ► Safe shelter-in-place** for: patients, staff, & volunteers
- Secure, confidential & immediately available medical documentation system and secondary back up system plan
- Volunteer & emergency staffing processes to address surge needs

STEP 4: COMMUNICATIONS PLAN

CMS rule, step 4: Communications...

Communications Plan

- ► Refers back to EP plan; must comply with Federal and State laws
- ► Facilitate both internal (staff & patients) and external (federal, state, local agencies) communications
 - ► Must include a "method for sharing information and medical documentation with other healthcare providers to ensure continuity of care for patients."



CMS rule, step 4: Communications...

Communications Plan, cont.

- ► Communicate to the local incident command center of an emergency the facility's ability to provide assistance before, during and after the event
- Alternate means of communication in case of interruption in phone service

STEP 5: TRAINING & TESTING

CMS rule, step 5: Training...

Training and Testing Program

- Review current training programs, compare to risk assessment, EP plan, communications plan, and policies and procedures
- Provide initial training to all new and existing staff, individuals providing services under arrangement, and volunteers, consistent with "expected roles"
- ► Staff must be able to demonstrate knowledge; must have documentation of staff training

A sample from the Surveyor Guidance:

- ► Ask for copies of the facility's initial emergency preparedness training and annual emergency preparedness training offerings.
- ► Interview various staff and ask questions regarding the facility's initial and annual training course, to verify staff knowledge of emergency procedures.
- Review a sample of staff training files to verify staff have received initial and annual emergency preparedness training.

CMS rule, step 6: Testing...

Training and Testing Program: Full-scale Exercise

- 2 exercises annually, 1 being full-scale while the other is at the facility's discretion
 - ▶ If full-scale is not an option, a facility-based exercise, as long as it is documented, will meet the requirement
- ► An actual emergency that requires the activation of the emergency plan, as long as it is documented, meets the full-scale exercise requirement for 1 year after the actual event
- Analyze response to and maintain documentation of drills, table top exercises, and emergency events

Emergency Preparedness Exercises: Level of Complexity Full-Scale Exercises **Functional Exercises** Drills Games Table tops Workshops **Seminars** Planning/Training Discussion-Based Operations-Based Source: Federal Emergency Management Agency (FEMA)

Definitions from Guidance

- ► Full-Scale Exercise: Is an operations-based exercise that typically involves multiple agencies, jurisdictions, and disciplines performing functional and integration of operational elements involved in the response to a disaster event, i.e. "boots on the ground" response activities (for example, hospital staff treating mock patients).
- ► Table-top Exercise (TTX): Involves key personnel discussing simulated scenarios in an informal setting. TTXs can be used to assess plans, policies, and procedures. A tabletop exercise is a discussion-based exercise that involves senior staff, elected or appointed officials, and other key decision making personnel in a group discussion centered on a hypothetical scenario. TTXs can be used to assess plans, policies, and procedures without deploying resources.

Exercise documentation

- ► Each facility is responsible for documenting their compliance and ensuring that this information is available for review at any time for a period of no less than three (3) years.
- ► The After Action Report (AAR), at a minimum, should determine:
 - 1) what was supposed to happen;
 - 2) what occurred;
 - 3) what went well;
 - 4) what the facility can do differently or improve upon; and
 - 5) a plan with timelines for incorporating necessary improvement.

CMS rule, nuances to keep in mind

Integrated health system option -

- ► Allows a separate healthcare facility that operates within a healthcare system to elect to be a part of that system's unified emergency preparedness program.
 - Must demonstrate that each separately facility actively participates
 - ► Each facility must demonstrate program implementation and compliance with requirements at the facility level

"Failure to meet these minimum requirements will result in 'termination' of participation in CMS programs"

As per 10/05/16 call with CMS.

"In the event facilities are noncompliant, the same general enforcement procedures will occur as is currently in place for any other conditions or requirements cited for non-compliance."

	Health Center Percentage	MA Percentage	US Percentage
% at or below 100% poverty % at or below 200% poverty	•	12% 27%	14% 32%
% Uninsured	16%	4%	9%
% Medicaid	49%	23%	20%
% Medicare	11%	12%	14%

About Healthcare Coalitions

- ► A healthcare coalition is a group of individual health care and response organizations with a defined geographic area of service.
- ► Healthcare coalitions foster an environment of collaboration that helps each member be better prepared to respond to emergencies and manage planned events.
- http://bparati.com/Healthcare-Coalition-Business-And-Organizational-Development

CMS RULE EXPECTATIONS FOR COMMUNITY INTEGRATION

- ... how the facility will coordinate with the whole community during an emergency or disaster...
- ... ensures a facility's ability to collaborate with local emergency preparedness officials...
- ... community risk assessment...
- ... process for cooperation and collaboration with local, tribal, regional, State, and Federal emergency preparedness officials' efforts
- ... Facilities are encouraged to participate in a healthcare coalition...
- ... Participate in a full-scale exercise that is community-based...

Thank you.

Please hold questions to the end of the presentation.

POLL #3

On a scale of 1-5 (1 being not at all prepared and 5 being extremely prepared), how prepared is your health center to respond in the event of an emergency?



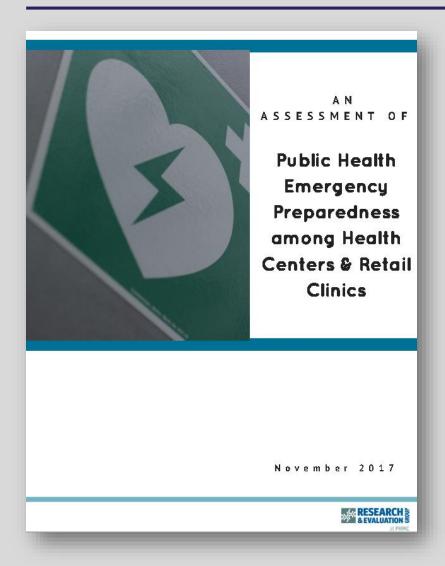


Public Health Preparedness Resources for Health Centers

Alexander Lipovtsev, LCSW
Assistant Director, Emergency Management
Community Health Care Association of New York State (CHCANYS)



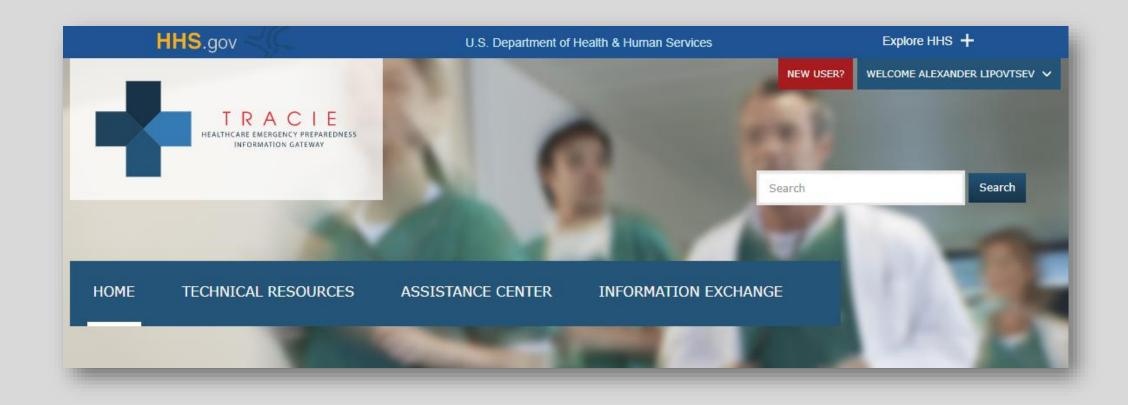
Assessment of T/TA Needs of CHCs



- Top areas of need identified:
 - CMS Emergency Preparedness Final Rule
 - Training staff
 - Running exercises, specifically tabletops



ASPR TRACIE





CMS EP Rule Four Core Elements

(a) Risk Assessment & Emergency Planning

Policies and Procedures

(b)

(c)

Communication Plan

Training and Testing

(d)

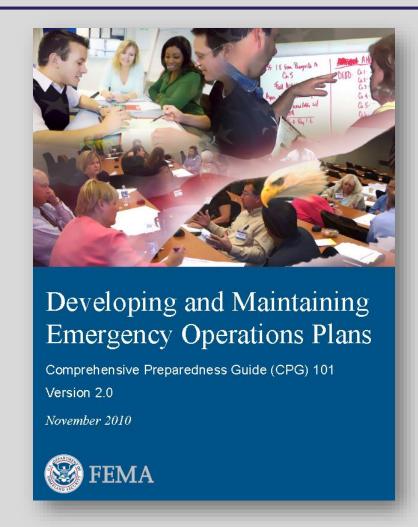


RISK ASSESSMENT & EMERGENCY PLANNING

Core Element 1

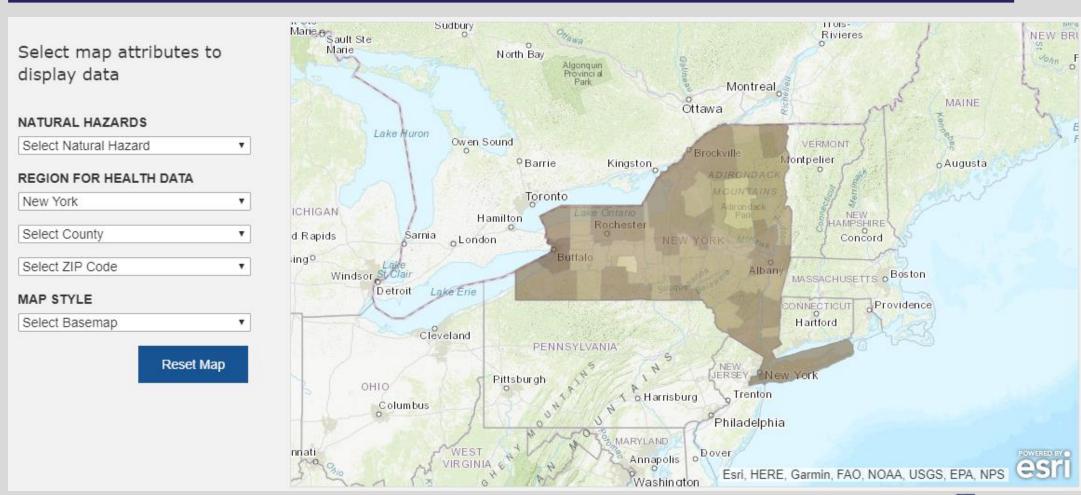


Developing Your Emergency Operations Plans





HHS emPOWER Map 2.0





Social Vulnerability Index (SVI)

SVI Topics



Fact Sheet Learn about Social Vulnerability, the SVI, and its uses.



Interactive Map Click through to select a theme, location, layers (hospitals, etc.), and tract info. Export or print your customized map.



Data and Tools Download

Download 2014, 2010, or 2000 SVI data and geoprocessing tools.



Prepared County Maps

View, save, and print SVI maps showing overall social vulnerability and the four themes at the census tract level for any county.



SVI Publications, Posters, Presentations, and other Materials

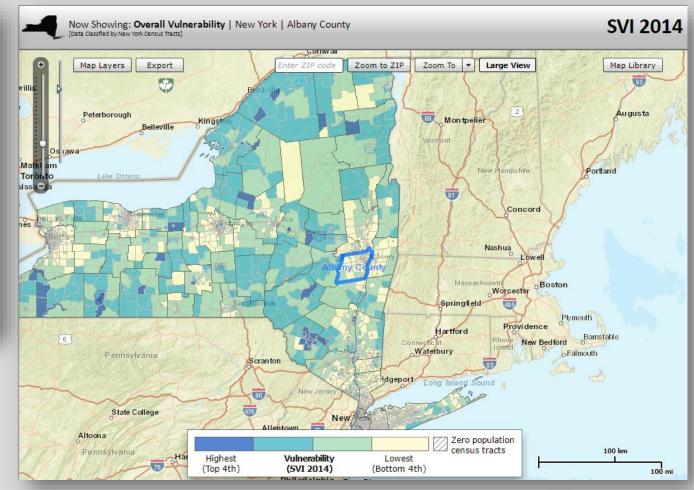
Explore other SVI-related articles and materials produced by the CDC or our partners.



Other Social Vulnerability Resources

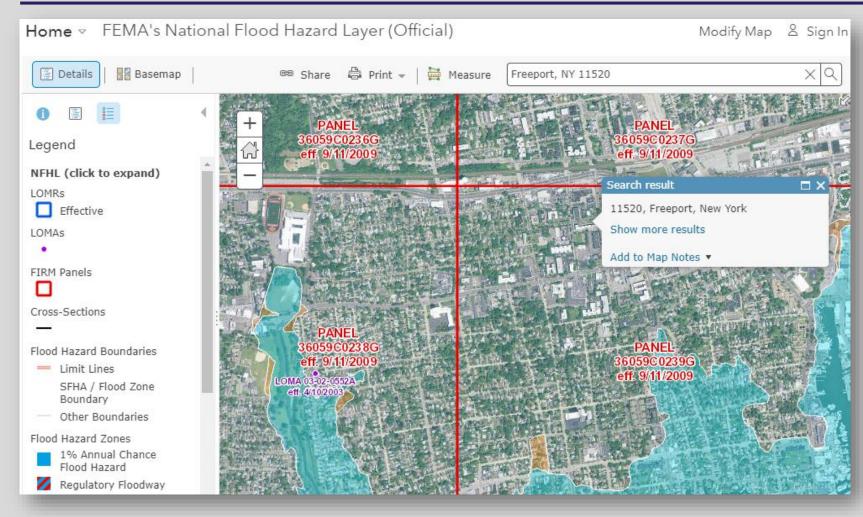
Review websites, citations, and other resources of interest.

https://svi.cdc.gov





FEMA Flood Map Service Center



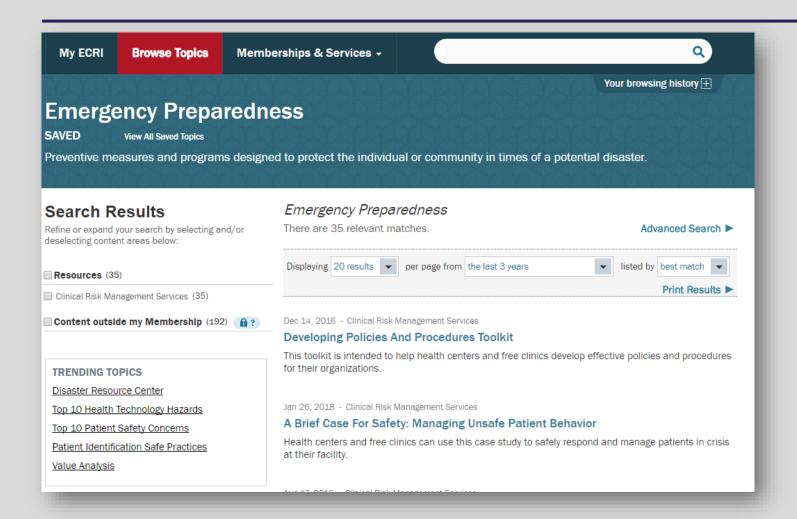


POLICIES AND PROCEDURES

Core Element 2



ECRI Institute





COMMUNICATION PLAN

Core Element 3



CDC - Crisis & Emergency Risk Communication (CERC)



Resources for Emergency Health Professionals > Crisis & Emergency Risk Communication

Crisis & Emergency Risk Communication (CERC)









The right message at the right time from the right person can save lives. CDC's Crisis and Emergency Risk Communication (CERC) draws from lessons learned during past public health emergencies and research in the fields of public health, psychology, and emergency risk communication. CDC's CERC program provides trainings, tools, and resources to help health communicators, emergency responders, and leaders of organizations communicate effectively during emergencies. Please email cercrequest@cdc.gov with any questions or requests for trainings or materials.



TRAINING

The CERC program offers in-person and online trainings on Crisis and Emergency Risk Communication.

More >



MANUAL AND TOOLS

The CERC Manual describes core crisis and emergency risk communication principles and how they apply to each phase of a crisis. Tools are available to prepare communication plans or use during a crisis.

More >



Health Information Privacy



https://emergency.cdc.gov/cerc/index.asp

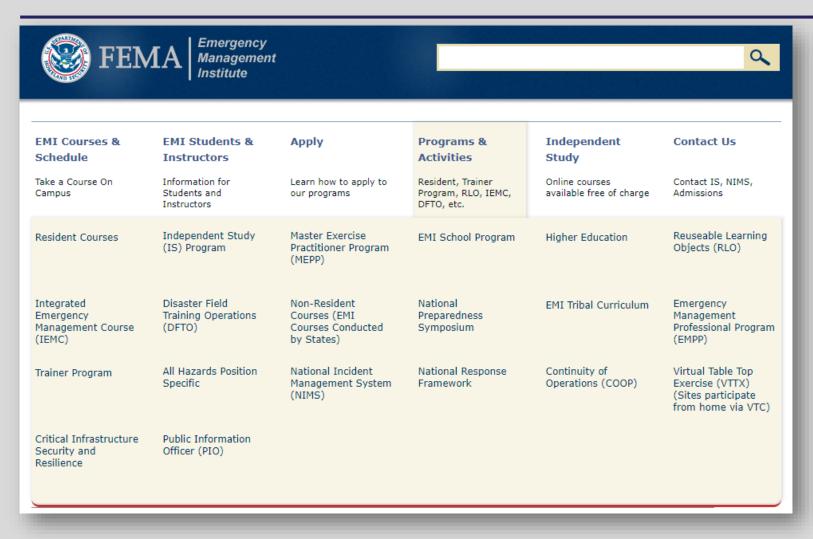


TRAINING AND TESTING

Core Element 4



FEMA Emergency Management Institute



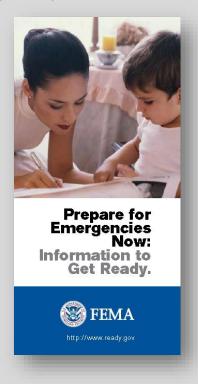


Free Printed Publications

Links to request free printed materials or download PDF files:

- https://www.ready.gov/publications
- https://www.bulkorder.ftc.gov
- https://wwwn.cdc.gov/pubs/CDCInfoOnDemand.aspx

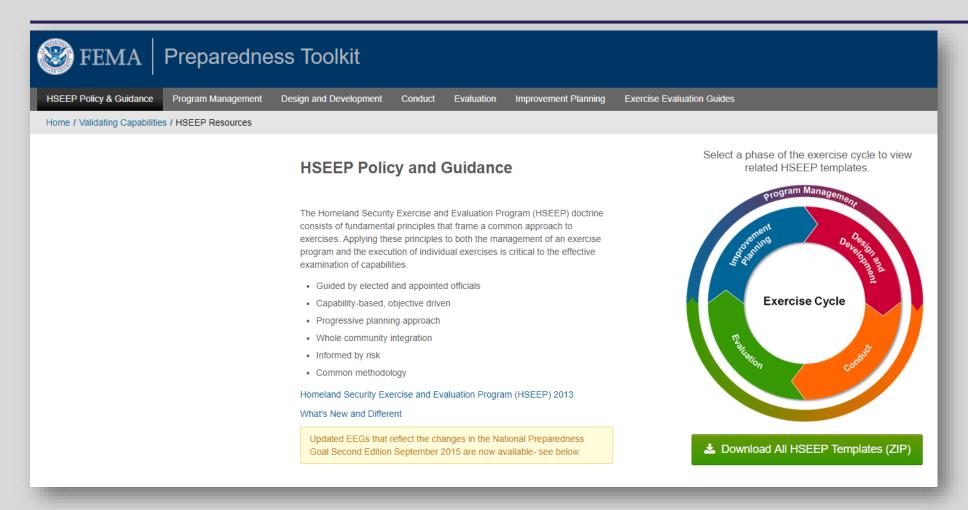








Templates for Exercise Planning





Packaged Tabletop Exercise (TTX)

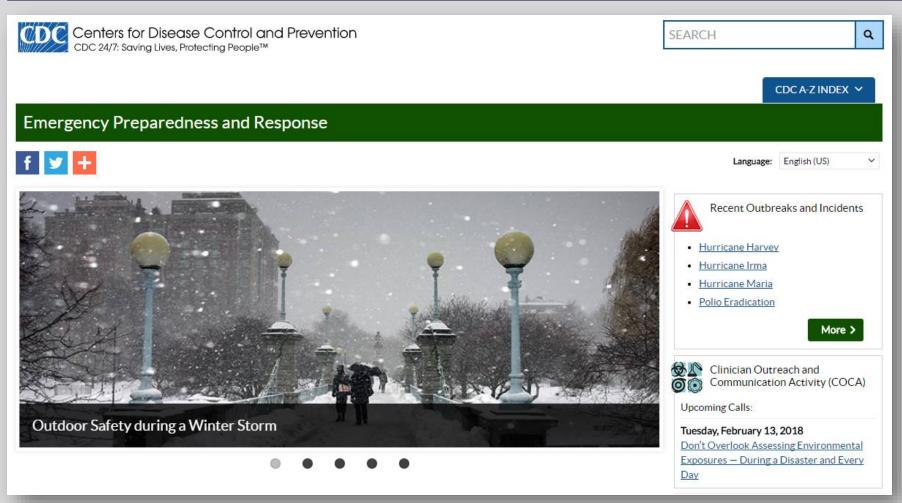




ADDITIONAL USEFUL RESOURCES

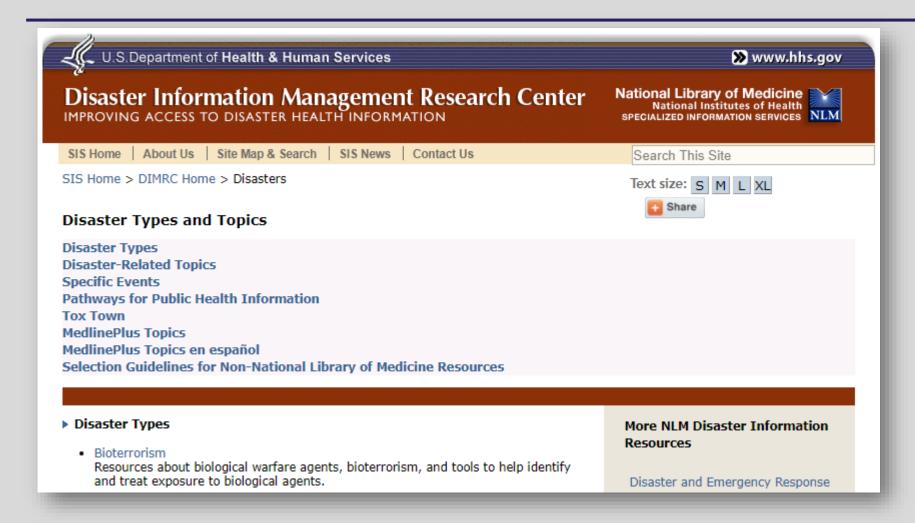


Centers for Disease Control (CDC)





National Library of Medicine





National Library of Medicine





Mental Health for Disasters



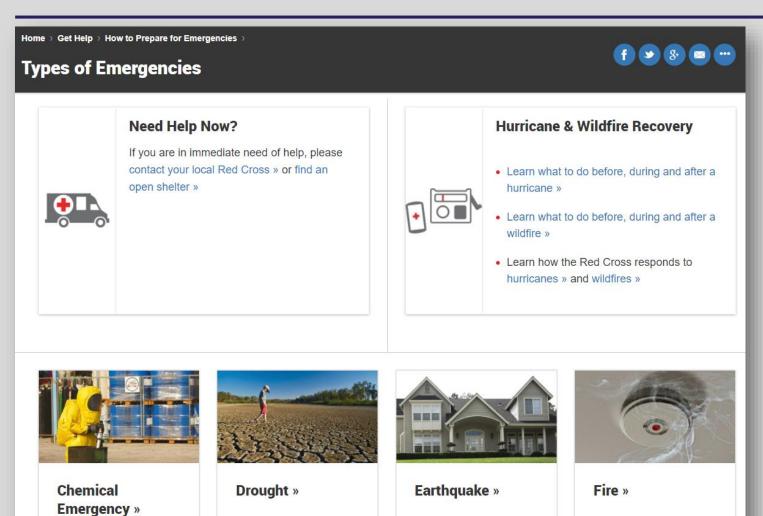


Cybersecurity





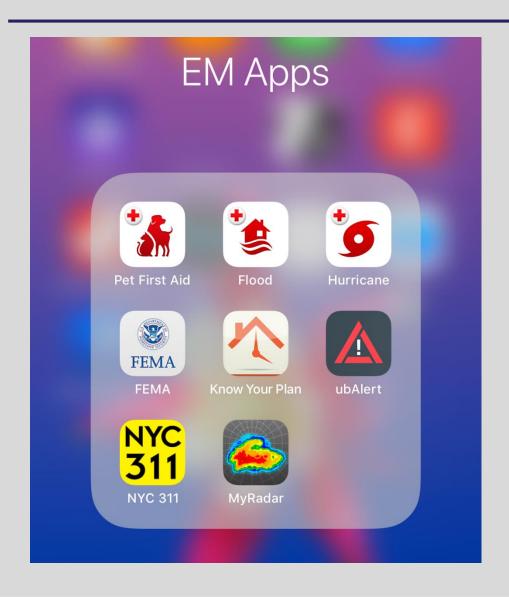
American Red Cross



http://www.redcross.org/gethelp/how-to-prepare-foremergencies/types-ofemergencies



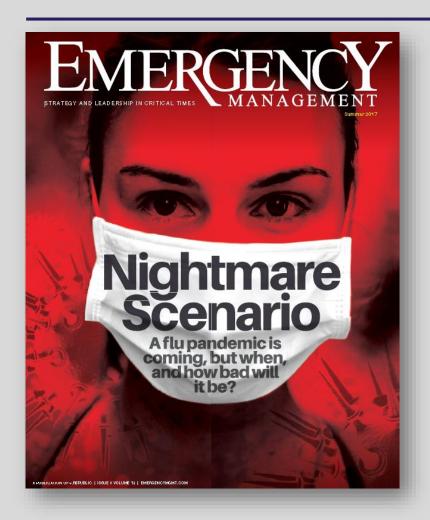
Mobile Applications



- FEMA
- American Red Cross
- Know Your Plan
- ubAlert Disaster Alert Network
- MyRadar
- Others



Free Publications





http://www.govtech.com/em



Questions?

Moderator

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Panelists

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Chair, PCA Emergency Management Advisory Coalition
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Join us for upcoming training opportunities!

Navigating the CMS Emergency Preparedness Rule

March 13, 1-2 pm ET

Register <u>here</u>!

Bolstering Health Center Staff Readiness for an Outbreak

March 20, 1-2 pm ET

Register <u>here</u>!

Understanding & Advancing the Health Center Role in Local Emergency Response

March 27, 1-2 pm ET

Register <u>here</u>!